

Matrix superalgebras and lattice isometry

Jenny Roberts
(joint work with Dan Fretwell)

University of Bristol

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What is a superalgebra?

Let A and B be vector subspaces of some algebra X , we say they form a superalgebra over X if:

- $A \oplus B = X$.
- $AA \subset A, BB \subset A, AB \subset B, BA \subset B$.
 - A is the 'even' component, B is the 'odd' component.

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Our goal for the next few slides is to give a concrete example of a superalgebra over $M_n(K)$, for K a field with $\text{char}(K) = 0$, first constructed by Hill, Lettington & Schmidt in 2017.

Defining S_n

Let a matrix M be in $S_n \subset M_n(K)$ if

$$\sum_{i=1}^n M_{ij} = \sum_{i=1}^n M_{ji} = w \text{ for all } 1 \leq j \leq n.$$

Equivalently, taking $1_n = \begin{pmatrix} 1 \\ \vdots \\ 1 \end{pmatrix} \in \mathbb{Z}^n$, we can write this as

$$1_n^T M = w 1_n^T, \quad M 1_n = w 1_n.$$

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Now, taking $\langle \cdot, \cdot \rangle$ to be the standard bilinear form $\langle a, b \rangle = a^T b$ for $a, b \in K^n$, we also have that:

$$M \in S_n \iff \langle u, M 1_n \rangle = 0, \quad \langle 1_n, M u \rangle = 0 \quad \forall u \in \{1_n\}^\perp.$$

Properties of S_n

$$S_n = \{M \in M_n(K) : \langle u, M1_n \rangle = 0, \langle 1_n, Mu \rangle = 0 \forall u \in \{1_n\}^\perp\}.$$

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- Then $M \in S_n$ if and only if we can write:

$$M = M_0 + \text{wt}(M)\varepsilon_n$$

where M_0 is such that $\text{wt}(M_0) = 0$ and ε_n is the $n \times n$ all 1s matrix ($\varepsilon_n = 1_n 1_n^T$).

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- $\dim(S_n) = n^2 - 2(n - 1) = n^2 - 2n + 2.$

S_2 example

Consider $S_2 \subset M_2(K)$, then $S_2 = \text{span} \left(\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix} \right)$.

Then, $\text{wt} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = \frac{1}{2}$ and we can write:

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = \underbrace{\frac{1}{2} \begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix}}_{M_0} + \underbrace{\frac{1}{2} \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}}_{\text{wt}(M)\varepsilon_n}$$

Defining V_n

Let a matrix M be in $V_n \subset M_n(K)$ if

$$M_{ij} + M_{kl} = M_{il} + M_{kj}, \quad i, j, k, l \in \{1, \dots, n\} \quad \text{and} \quad \sum_{i,j=1}^n M_{ij} = 0$$

$$\begin{pmatrix} * & * & * \\ * & * & * \\ * & * & * \end{pmatrix} \begin{pmatrix} * & * & * \\ * & * & * \\ * & * & * \end{pmatrix} \begin{pmatrix} * & * & * \\ * & * & * \\ * & * & * \end{pmatrix}$$

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Using the bilinear form $\langle \cdot, \cdot \rangle$, we have:

$$M \in V_n \iff \langle u, Mv \rangle = 0 \quad \forall u, v \in \{1_n\}^\perp, \quad \langle 1_n, M1_n \rangle = 0.$$

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- $\dim(V_n) = 2n - 2$.

V_2 example

Consider $V_2 \subset M_2(K)$, then $V_2 = \text{span} \left(\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \right)$. Then,

$$\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \underbrace{\frac{1}{2} \begin{pmatrix} 1 \\ -1 \end{pmatrix} (1 \quad 1)}_{a1_n^T} + \underbrace{\frac{1}{2} \begin{pmatrix} 1 \\ 1 \end{pmatrix} (1 \quad -1)}_{1_n b^T}$$

$$\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 1 \\ -1 \end{pmatrix} (1 \quad 1) + \frac{1}{2} \begin{pmatrix} 1 \\ 1 \end{pmatrix} (-1 \quad 1)$$

Recap

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$$u^T M 1_n = 1_n^T M u = 0 \quad \forall u \in \{1_n\}^\perp$$

$$u^T M v = 1_n^T M 1_n = 0 \quad \forall u, v \in \{1_n\}^\perp$$

$$M_0 + \text{wt}(M)\varepsilon_n$$

$$a 1_n^T + 1_n b^T \text{ for some } a, b \in \{1_n\}^\perp$$

$$\dim(S_n) = n^2 - 2n + 2$$

$$\dim(V_n) = 2n - 2$$

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Can we show that $M_n(K) = S_n \oplus V_n$?

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- $\dim(S_n) + \dim(V_n) = n^2 = \dim(M_n(K))$
- Need to show: $S_n \cap V_n = \mathbf{0}_n$

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Assume $M \in S_n \cap V_n$ then, for all $u, v \in \{1_n\}^\perp$, M satisfies:

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- 2 $\langle 1_n, Mu \rangle = 0$
- 3 $\langle v, Mu \rangle = 0$
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Equation (2) $\implies Mu$ is orthogonal to 1_n .

Equation (3) $\implies Mu$ is orthogonal to $\{1_n\}^\perp$.

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So, for all $u \in \{1_n\}^\perp$, Mu must be orthogonal to all of K^n , hence $Mu = 0$.

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Using a similar argument with equations (1) and (4), we have that $M1_n = 0$.

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Assume $M \in S_n \cap V_n$ then, for all $u, v \in \{1_n\}^\perp$, M satisfies:

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Using a similar argument with equations (1) and (4), we have that $M1_n = 0$.

Since $\text{span}(1_n, \{1_n\}^\perp) = K^n$, we know $Ma = 0$ for all $a \in K^n$, hence $M = \mathbf{0}_n$.

Hence, $S_n \oplus V_n = M_n(K)$.

Superalgebra property

To check we have a superalgebra, we need:

$$S_n S_n \subset S_n, V_n V_n \subset S_n, S_n V_n \subset V_n, V_n S_n \subset V_n.$$

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$$\langle 1_n, S_1 S_2 u \rangle = 1_n^T S_1 S_2 u = w_1 1_n^T S_2 u = 0.$$

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$$\implies S_1 S_2 \in S_n.$$

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$$\langle 1_n, S_1 S_2 u \rangle = 1_n^T S_1 S_2 u = w_1 1_n^T S_2 u = 0.$$

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$\implies S_1 S_2 \in S_n$. Others follow similarly, so S_n and V_n form a superalgebra.

For any matrix $M \in M_n(K)$, we can decompose M as $M = S + V$ for some $S \in S_n$ and $V \in V_n$. In particular,

$$M = M_0 + \text{wt}(M)\varepsilon_n + a 1_n^T + 1_n b^T$$

Lattice isometry problem

- Take two rank n \mathbb{Z} -lattices Λ_M and Λ_B with associated Gram matrices $M, B \in GL_n(\mathbb{Z})$.
- If Λ_M and Λ_B are isometric, then we can write $M = N^T B N$ for some $N \in GL_n(\mathbb{Z})$.
- We want to use the superalgebra structure on the above equation to try to determine if its possible for the lattices to be isometric. In the case $B = I_n$, Higham, Lettington & Schmidt (2021) studied this problem to see when $M = N^T N$.

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Idea: Decompose M into S_n and V_n parts and compare with the S_n and V_n parts of $N^T B N$.

To do this, we need to take $K = \mathbb{Q}$ and generalise the ideas of S_n and V_n .

The new superalgebra

Take B to be a symmetric, positive definite matrix in $GL_n(\mathbb{Z})$. Define $\langle \cdot, \cdot \rangle_B$ to be the vector inner product $\langle a, b \rangle_B = a^T B b$. Then

$$S_{n,B} = \{M \in M_n(\mathbb{Q}) : \langle u, M1_n \rangle_B = 0, \langle 1_n, Mu \rangle_B = 0 \forall u \in \{1_n\}_B^\perp\}.$$

$$V_{n,B} = \{M \in M_n(\mathbb{Q}) : \langle 1_n, M1_n \rangle_B = 0, \langle u, Mv \rangle_B = 0 \forall u, v \in \{1_n\}_B^\perp\}.$$

where $u \in \{1_n\}_B^\perp \iff \langle u, 1_n \rangle_B = u^T B 1_n = 0$.

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where $u \in \{1_n\}_B^\perp \iff \langle u, 1_n \rangle_B = u^T B 1_n = 0$.

Define weight w.r.t. B as

$$\text{wt}(M)_B = \frac{1_n^T B M 1_n}{1_n^T B 1_n} = \frac{1_n^T B M 1_n}{n^2 \text{wt}(B)}$$

The new superalgebra

Then

$$M \in S_{n,B} \iff M = M_0 + \frac{\text{wt}(M)_B}{n^2 \text{wt}(B)} \varepsilon_n B, \text{ for some } M_0 \text{ s.t. } \text{wt}(M_0)_B = 0.$$

$$M \in V_{n,B} \iff M = a 1_n^T B + 1_n b^T B \text{ for some } a, b \in \{1_n\}_B^\perp.$$

It turns out $S_{n,B} \oplus V_{n,B} = M_n(\mathbb{Q})$ is also a superalgebra. So for any $M \in M_n(\mathbb{Q})$, we can write:

$$M = M_0 + \frac{\text{wt}(M)_B}{n^2 \text{wt}(B)} \varepsilon_n B + a 1_n^T B + 1_n b^T B.$$

Application to lattice isometry

Let's assume that M and B are the gram matrices of two isometric lattices. Then we can write $M = N^T B N$. Taking the weight of M (multiplied by n^2):

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$$\begin{aligned}n^2 \text{wt}(M) &= 1_n^T M 1_n = 1_n^T N^T B N 1_n \\ &= 1_n^T N^T B (N_0 + \omega_N \varepsilon_n B + a 1_n^T B + 1_n b^T B) 1_n\end{aligned}$$

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Application to lattice isometry

Let's assume that M and B are the gram matrices of two isometric lattices. Then we can write $M = N^T B N$. Taking the weight of M (multiplied by n^2):

$$\begin{aligned}n^2 \text{wt}(M) &= 1_n^T M 1_n = 1_n^T N^T B N 1_n \\&= 1_n^T N^T B (N_0 + \omega_N \varepsilon_n B + a 1_n^T B + 1_n b^T B) 1_n \\&= 1_n^T N^T B (\omega_N \varepsilon_n B + a 1_n^T B) 1_n \\&= \omega_N \underbrace{1_n^T N^T B 1_n}_{n^2 \text{wt}(B)} + \underbrace{1_n^T N^T B a 1_n^T B 1_n}_{n^2 \text{wt}(B)}\end{aligned}$$

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$$\omega_N = \frac{\text{wt}(N)_B}{n^2 \text{wt}(B)} = \frac{1_n^T B N 1_n}{n^4 \text{wt}(B)^2} \implies 1_n^T N^T B 1_n = 1_n^T B N 1_n = n^4 \text{wt}(B)^2 \omega_N$$

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If we scale up by $n^4 \text{wt}(B)^2$, we obtain a positive definite, integral quadratic form in $n + 1$ variables:

$$n^6 \text{wt}(B)^2 \text{wt}(M) = n^2 \text{wt}(B) \tilde{\omega}^2 + \tilde{a}^T B \tilde{a}$$

where $\tilde{\omega} = n^2 \text{wt}(B) \omega_N \in \mathbb{Z}$, $\tilde{a} = n^2 \text{wt}(B) a \in \mathbb{Z}^n$.

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So, if Λ_M and Λ_B are rank n , isometric lattices, we must have an integer solution to the above quadratic form.

Rank 2 example

Take $B = \begin{pmatrix} 1 & 0 \\ 0 & 5 \end{pmatrix}$ and $M = \begin{pmatrix} 2 & 1 \\ 1 & 3 \end{pmatrix}$.

$n^2\text{wt}(B) = 6$, $\text{wt}(M) = \frac{7}{4}$ and $a^T B a = a_1^2 + 5a_2^2$.

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Using the condition that $1_n^T B a = a_1 + 5a_2 = 0$, we have $a_1 = -5a_2$. Taking $\tilde{\omega} = 36\omega_M \in \mathbb{Z}$, $\tilde{a} = 36a_2 \in \mathbb{Z}$, we have

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So $|\tilde{a}| \leq 2$, and after trying all options, it is clear there are no integer solutions, so B and M cannot be isometric!

Thank you!